

Hitotsubashi University's 21st Century COE Program: "Research on Normative Evaluation and Social Choice in Contemporary Economic Systems" (COE/RES) and the Center for Economic Institutions (CEI) at Institute of Economic Research (IER)

Rules for using the database on large shareholders and executives (directors and corporate auditors)

Enacted in March 31, 2008

(Purpose)

Article 1

These regulations cover essential matters related to the use of the major shareholders and executives database developed by Hitotsubashi University's 21st Century COE Program ("Research on Normative Evaluation and Social Choice in Contemporary Economic Systems") and the Center for Economic Institutions at Institute of Economic Research, Hitotsubashi University (hereinafter referred to as "CEI").

(Definition)

Article 2

In this article, the "Database on major shareholders and executives (directors and corporate auditors)" (hereinafter referred to as "this database") are defined as digital media and related information system developed by Hitotsubashi University based on securities reports and other materials, which cover the information on the major shareholders and executives of the listed companies in the post-war Japan.

(Scope of users)

Article 3

The following categories of people are eligible to use this database:

- (1) Faculty, staff and students of Hitotsubashi University
- (2) People outside Hitotsubashi University who engage in academic research or investigations
- (3) Others specifically authorized by the director of CEI

(Application for use)

Article 4

Those wishing to use this database must obtain the approval of the director of CEI, using the prescribed application form.

(Approval of use)

Article 5

The director of CEI will approve the applications that are considered to be appropriate and give each approved user an ID and password.

(Period of use)

Article 6

In principle, users may use the database from the day their applications are approved until the end of the relevant fiscal year.

(Issues to consider when using the database)

Article 7

When using this database, users must comply with conditions listed below:

- (1) Not to allow third parties to use their user ID or password.
- (2) Not to use this database for purposes other than academic research or investigations.
- (3) Not to use the database for profit-making purposes.
- (4) Not to violate privacy.
- (5) Not to falsify, change, or destroy part or all of the data or programs in this database.
- (6) Not to transfer, distribute, or forward data from this database to a third party.
- (7) If any results (academic papers, etc.) are obtained using this database, when publishing those results, acknowledge the use of this database and donate a copy of their outcomes to Hitotsubashi University through CEI.

(Disputes between the users and third parties, etc.)

Article 8

Regarding the use of this database, CEI does not assume any responsibility for any dispute between the users and third parties.

(Cancellation of user status)

Article 9

The director of CEI can revoke the database access of the users who violate the provisions of Article 7 and prevent their further use.

(Submission of reports)

Article 10

The directors of CEI can ask database users to report their results or progress at any time.

(Notifications)

Article 11

Users must promptly notify the director of CEI if any of the following issues may occur:

- (1) The user decides not to use this database.
- (2) Any item in the user's application has changed.
- (3) The user ID or password is lost.

(Disclaimer)

Article 12

CEI is not responsible for any damages caused by the use of this database, or for any damages that users may cause to third parties.

(Guarantee of data)

Article 13

CEI does not guarantee the perfection and accuracy of the data in this database.

(Changes)

Article 14

CEI can arbitrarily revise and expand this database. In such a case, each provision in these regulations will be applied to the database after its revision/expansion. In addition, CEI may change the provisions of these regulations or add new provisions when necessary, without giving prior notice to users.

Supplementary provision

These regulations came into force on March 31, 2008.